

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

TRACY et al.

Serial No. 09/822,868

:Group Art Unit: 2857

Filed: April 2, 2001

:Examiner: Felix E. Suarez

For: SYSTEM, METHOD AND MEDIUM FOR CERTIFYING AND ACCREDITING
REQUIREMENTS COMPLIANCE

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of Patents
Washington, D.C. 20231

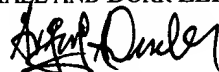
Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. Applicants request that the a new Filing Receipt be issued that states that the present application is a continuation-in-part of Application Serial No. 09/794,386, as required by 37 CFR 1.78(a)(2), and as shown in red ink on the attached copy of the Filing Receipt. Submitted herewith is an Application Data Sheet indicating the continuation-in-part status of the present application, and a copy of the Declaration and Power of Attorney listing the priority data.

The Commissioner is hereby authorized to charge any deficiency to Deposit Account No. 08-0219.

Respectfully submitted,

HALE AND DORR LLP



Gregory S. Discher
Registration No. 42,488

1455 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-1008
TEL: 202.942.8437
FAX: 202.942.8484
Date: 06/26/01 ✓

GSD/sed

RECEIVED
JUL 12 2002
TECHNOLOGY CENTER 2800

#8
CFR 1.78(a)(2)
11/19/02

SMA/GSD

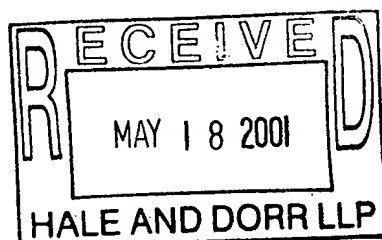


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/822,868	04/02/2001	2121	0.00	102632.121US1	34	86	9

Hale and Dorr LLP
1455 Pennsylvania Avenue, N.W.
Washington, DC 20004



CONFIRMATION NO. 7199

FILING RECEIPT



OC00000006077174

Date Mailed: 05/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- Richard P. Tracy, Residence Not Provided;
- Hugh Barrett, Residence Not Provided;
- Lon J. Berman, Residence Not Provided;
- Gary M. Catlin, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/223,982 08/09/2000

is a IP of 09/794,386
filed 2/28/01
which is a non-provisional of
60/223,982 filed 8/9/00

Foreign Applications

If Required, Foreign Filing License Granted 05/09/2001

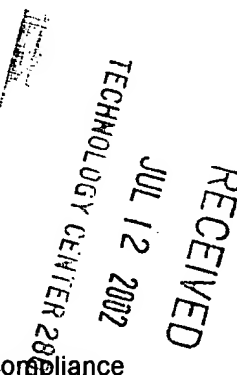
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

System, method and medium for certifying and accrediting requirements compliance



Preliminary Class

700

Data entry by : MARMOL, MARIA

Team : OIPE

Date: 05/15/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184 if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Docket No. 102632.121US1

PATENT

RECEIVED
JUN 12 2002

TECHNOLOGY CENTER 2800
11/19/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

TRACY et al.

Serial No. 09/822,868

Group Art Unit: 2857

Filed: April 2, 2001

Examiner: Felix E. Suarez

For: SYSTEM, METHOD AND MEDIUM FOR CERTIFYING AND ACCREDITING
REQUIREMENTS COMPLIANCE

REQUEST FOR APPROVAL OF CHANGES TO THE DRAWINGS

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

This Amendment to the Drawings is submitted to correct formal matters cited by the Examiner in the Office Action dated June 5, 2002. Accordingly, the Examiner is requested to approve the changes to Figures 4 and 5 as shown in red on the attached sheets of drawings. To expedite issuance of this application, formal drawings of Figures 4 and 5 are also attached.

Respectfully submitted,
HALE AND DORR LLP

Gregory S. Discher
Registration No. 42,488

1455 Pennsylvania Avenue, N.W.
Washington, DC 20004-1008
(202) 942-8437

Date: 06/26/02

GSD:sed